

**COMBINED DECLARATION AND POWER OF ATTORNEY FOR UTILITY
PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first, and joint/sole inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled A Window Operator.

the specification of which (check only one item below):

- [x] is attached hereto.
- [] was filed as United States application Serial No. _____
on _____ and was amended on _____
(if applicable).
- [] was filed as PCT international application No. _____
on _____ and was amended under PCT
Article 19 on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I do not know and do not believe that the same was ever known or used in the United States of America before my invention thereof, or patented or described in any printed publication in any country before my invention thereof or more than one year prior to this application, that the same was not in public use or on sale in the United States of America more than one year prior to this application, and that the invention has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months prior to this application.

I acknowledge the duty to disclose information material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States code, §119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed

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below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

PRIOR FOREIGN /PCT APPLICATION(S) AND ANY PRIORITY CLAIMES UNDER 35 U.S.C. 119:

COUNTRY (if PCT indicate PCT)	APPLICATION NO.	DATE OF FILING	PRIORITY CLAIMED UNDER 35 U.S.C.119
New Zealand	336579	July 5, 1999	Yes

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112 I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

PRIOR U.S. APPLICATIONS OR PCT INTERNATIONAL APPLICATIONS DESIGNATING THE U.S. FOR BENEFIT UNDER 35 U.S.C. 120:

Application Serial No.	Filing Date	Status (pending patented, abandoned)
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POWER OF ATTORNEY: As a named inventor, I hereby appoint:

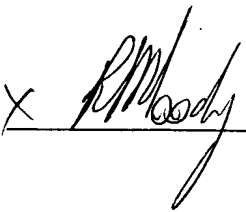
Bradley J Berezna; Roger W Blakely, Jr; Lori N Boatright; David R Halvorson; George W Hoover; Eric S Hyman; Dennis G Martin; Ronald W Reagin; James H Salter; James C Scheller; Maria E Sobrina; Stanley W Sokoloff; Edwin H Taylor; Lester J Vincent; Ben J Yorks; and Norman Zafman of the City of Los Angeles, State of California, all Attorneys at Law admitted to practise in all the Courts of the State of California, Tracy L Hurt admitted to practise in all the Courts of the State of Georgia, and Christopher W Wells admitted to practise in all the Courts of the District of Columbia and the State of Virginia; my attorneys, with full powers of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith; and I hereby request that all correspondence regarding this application be sent to the firm of BLAKELY SOKOLOFF TAYLOR & ZAFMAN, whose Post Office address is 12400 Wilshire Boulevard, Seventh Floor, Los Angeles, California 90025-1026, UNITED STATES OF AMERICA (213) 207 3800.

I declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF FIRST SOLE INVENTOR
Roy Malcolm Moody

Residence: Wellington,
New Zealand
Citizen of: New Zealand

Post Office Address:
10/75 Delhi Crescent
Kandallah, Wellington
New Zealand

X 
Date X 27 June 2000

NAME OF SECOND JOINT INVENTOR
Robert Efstratiou

Residence: Wellington,
New Zealand
Citizen of: New Zealand

Post Office Address:
62 Kauri Street
Miramar, Wellington
New Zealand

X R. Efstratiou

Date X 27 June 2000

NAME OF THRID JOINT INVENTOR
Malcolm David Boosey

Residence: Wellington,
New Zealand
Citizen of: New Zealand

Post Office Address:
53 Kensington Avenue
Petone, Wellington
New Zealand

X M. Boosey

Date X 27 June 2000

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Applicant or Patentee: ROY MALCOLM MOODY et al

Attorney's Docket No: 37261. P658

Serial or Patent No:

Filed or Issued:

For: A WINDOW OPERATOR

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(d) and 1.27(e) - SMALL BUSINESS CONCERN**

I hereby declare that I am:

[] the owner of the small business concern identified below:

[x] an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN: INTERLOCK GROUP LIMITED

ADDRESS OF CONCERN: Portsmouth Road, Miramar, Wellington, New Zealand

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled:

A WINDOW OPERATOR

by inventor(s) ROY MALCOLM MOODY and ROBERT EFSTRATIOU and MALCOLM DAVID BOOSEY
described in

[X] the specification filed herewith.

[] application serial no , filed

[] patent no , issued

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organisation having rights to the invention is listed below * and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organisation under 37 CFR 1.9(e).

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* NOTE: Separate verified statements are required from each named person, concern or organisation having rights to the invention averring to their status as small entities (37 CFR 1.27)

NAME:

ADDRESS:

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANISATION

NAME:

ADDRESS:

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANISATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that wilful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such wilful false statements may jeopardise the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING:

BRETT RAYMOND MAY

TITLE OF PERSON OTHER THAN OWNER:

Director

ADDRESS OF PERSON SIGNING:

90 Nigel Road, Browns Bay, Auckland,
New ZealandSIGNATURE: 

DATE:

June 2000

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Applicant or Patentee: ROY MALCOLM MOODY et al

Serial or Patent No:

Filed or Issued:

For: A WINDOW OPERATOR

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) and 1.27(b) - INDEPENDENT INVENTOR)**

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled

A WINDOW OPERATOR

described in

- ☒ [X] the specification filed herewith.
☐ [] application serial no filed
☐ [] patent no , issued

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organisation under 37 CFR 1.9(e).

Each person, concern or organisation to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey or license any rights in the invention is listed below:

- ☐ [] no such person, concern, or organisation.
☒ [X] persons, concerns or organisations listed below.*

* NOTE: Separate verified statements are required from each named person, concern or organisation having rights to the invention averring to their status as small entities. (37 CFR 1.27)

FULL NAME: INTERLOCK GROUP LIMITED

ADDRESS: Portsmouth Road, Miramar, Wellington, New Zealand

☐ [] INDIVIDUAL ☒ [X] SMALL BUSINESS CONCERN ☐ [] NONPROFIT ORGANISATION

FULL NAME:

ADDRESS:

☐ [] INDIVIDUAL ☐ [] SMALL BUSINESS CONCERN ☐ [] NONPROFIT ORGANISATION

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FULL NAME:

ADDRESS:

[] INDIVIDUAL [] SMALL BUSINESS CONCERN [] NONPROFIT ORGANISATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))


I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that wilful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such wilful false statements may jeopardise the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF INVENTOR
Roy Malcolm Moody

X 

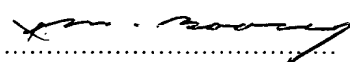
Date 27 June 2000

NAME OF INVENTOR
Robert Efstratiou

X 

Date 27 June 2000

NAME OF INVENTOR
Malcolm David Boosey

X 

Date 37 June 2000

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